

Rose Elena McAuliffe

Strategic and accomplished leader with experience in finance, accounting, budgeting, program administration, and investment management. Proven ability to improve operational efficiencies and achieve cost savings.

CORE COMPETENCIES

- Vast accounting, financial, budgeting and operational experience
- Ability to lead and create a high functioning collaborative team
- Extensive experience in investment management, policy analysis, and asset management
- Excellent interpersonal, public speaking and communication skills
- Fluency in the Spanish language both written and verbal

EDUCATION

UC Davis, B.A. – International Relations and Spanish

Keller Graduate School of Management – master's in accounting and financial management, *with distinction*

Keller Graduate School of Management – MBA with finance concentration, *with distinction*

American Association of Schools, Colleges & Universities (AACSB) Millennium Leadership Institute

FINRA - Series 7 & 63 Investments Securities Licenses, 1994 – 2006

PROFESSIONAL EXPERIENCE

CA State University, Stanislaus – Vice President for Business & Finance / Chief Financial Officer 2022 – present

Executive responsible for the oversight and management of all financial and operational functions of the university.

Report to the President as the delegated financial officer providing strategic fiscal policy and operational management.

Provide leadership for a diverse set of business and finance units; Finance, Budget Planning, Accounting, Contracts and Procurement, Audits, Safety and Risk Management, Capital Planning and Construction Projects, Facilities, University Police Department, Information Technology, and financial health assessments of the auxiliaries and self-support programs.

Responsible for providing leadership and management to managers and employees in a unionized work environment.

Successful management of the \$170 million budget and oversight of enterprise and self supports. Designated treasurer and board member for the Stanislaus State Foundation Auxiliary. Executive Director of Auxiliary and Business Services. Member of the CSU Executive Systemwide Risk Management Committee. Close collaboration with stakeholders such as Faculty Senate, student organizations, and labor unions in a shared governance environment. Manage a division of over 200 employees.

Key Strategic Accomplishments:

- Secured \$22 million in funding for campus affordable student housing building
- Lead the management & construction of new classroom building at Stockton Campus scheduled for Fall 2025 completion
- Led the strategic planning and successful update of the Campus Physical Master Plan at Stockton Campus
- Identified alternative revenue sources for the university through long term leases and campus partnerships
- Strategically reorganized Business & Finance division, focusing on customer service & operational efficiencies
- Experienced in resolving/negotiating/consensus building in moving forward to meet the organization's mission and objectives in a labor union environment for both faculty and staff.

CA State University Sacramento – Assoc. Vice President – Budget, Planning & Administration 2019 - 2022

Led the oversight of the university budget process and financial aspect of the capital planning projects. Worked closely with accounting staff on trust fund matters, direct allocation of assets and assurance of accurate postings. Served as point of contact for many of the auxiliaries on campus and reviewed their financial condition to assure solvency for both short term and long-term sustainability (UEI, Housing Program and the Union/Well). Provide financial consultation to the President on both long-term and short-term financial planning matters. Managed the debt bond financing for the university. Responsible for preparing the annual financial budgeting report for the university. Provided leadership and managed represented employees.

Key Strategic Accomplishments:

- Responsible for the administration of the university's \$300+ million general operating fund and support of the \$90+ million in enterprise and self-support funds.
- Responsible for the financial/budget revenue forecasting of the university.
- Worked closely with cabinet member executives and provided advice/assistance on budgetary matters.
- Worked with accounting and campus planning, design & construction on capital project funding.
- Analyzed, prepared, and communicated budget related information/data from the state, the CSU System, the Legislative Analyst Office, and other organizations to the University Budget Advisory Committee and the President's Cabinet via the CFO and Vice President for Administration & Business Affairs.

PROFESSIONAL EXPERIENCE Continued

CA Housing Finance Agency – Financing Risk Manager / Interim Head Asset Management 2017 - 2019

Direct, manage and lead financing and operational activities of the agency. Responsible for the deployment and management of debt management structuring and financing, short term investments, and cash flow analysis. Responsible for the creation and maintenance of financial policies. As the Interim Director of Asset Management, responsible for the maintenance of over 600 assets for a total of \$1.2 billion in a financing portfolio. Led the enterprise risk management efforts and assured compliance with state and federal regulations. Responsible for forecasting future cash flows and feasibility of various finance projects. Led communication efforts with rating agencies on our financial statements. Managed operations of multiple program areas with over 100 employees under management.

Key Strategic Accomplishments:

- Successfully established a risk management dashboard for the Asset Management Program
- Worked with the various rating agencies to support an increase in our ratings
- Enhanced the transparency and reporting requirements for the organization

CalPERS, Chief - Financial Planning, Policy & Budgeting / Chief Budget Officer 2011 – 2017

Plan, organize, and direct the overall operations of the Financial Planning, Policy, and Budgeting Division within the CalPERS Financial Office. Managed the overall administrative operations of the Finance Department that included human resources (recruitments and personnel matters), and contract management and procurement. Established and maintained priorities with team members involved in budget development, financial analysis, revenue and expenditure monitoring, fiscal forecasting, management reporting, and agenda item preparation. Consult with and advise senior and executive management on budgetary and financial issues. Analyze and make recommendations on proposed legislation from a fiscal policy perspective, new initiatives, Board of Administration decisions and upper management policies and directives. Establish goals and strategic objectives that result in budget workload priorities for the organization. Responsible for the operations, maintenance, and security of the organization's accounting system. Implemented business processes that resulted improved monitoring and oversight of CalPERS resources. Accountable for strategic planning and business planning measures and objectives such as reductions in consulting costs and quantifiable performance measurement metrics in business operations. Worked with the independent auditors and collaborated on the compilations of the Annual Comprehensive Financial Report (ACFR).

Key Strategic Accomplishments:

- Successfully reduced the operating budget from over \$2.2 billion to \$1.676 billion during tenure
- Established policies that included a Cost Allocation Policy, Position Management Policy and a Budget Oversight Policy
- Received the Distinguished Budgeting Award from the Government Financial Officers' Association 3 years in a row
- Coordinated and led to completion the Information Technology Hardware Refresh Policy and process for the organization

California Department of Finance, Finance Budget Analyst 2008 – 2011

Responsible for the analysis and consultative duties necessary to carry out the budget management functions of the state of California as the Governor's chief fiscal policy advisors. Responsible for developing the annual Governor's Budget, including written and financial analysis of departmental budget requests and budget presentations. Developed written analysis of the fiscal programmatic impacts of proposed legislation. Provide information and instruction to officers and employees of the state concerning budget and fiscal procedures. Analyzed unmet public needs and developed alternatives and recommendations for meeting those needs. The assignment included the various pension plans for the state of California.

West Coast Mortgage Group, Loan Officer / Operations Manager 2005 – 2008

Responsible for origination and financing for client's purchasing real estate. Duties include analyzing the client's complete financial information. Conducted financial analysis of credit and financial information for purposes of financial soundness. Trained new loan officers in areas of compliance, customer service, pipeline management, and loan analysis. Assisted in the formulations and maintenance of the companies' financial statements and analysis and forecasting of the company's projected revenues and expenditures. Led the company's operations addressing opportunities for business process improvements and operational efficiencies.

Wells Fargo Home Mortgage, Finance and Mortgage Consultant 2002 – 2005

Responsible for originating loans for borrower's purchasing residential properties. Duties include analyzing the borrower's financial information. Financial analysis of credit and mortgages is imperative for accurate financing to the clients. Received top producer in the state. My success was due in part to my organizational management skills and pro-active follow thru to the clients. In the builder division, real estate developers were also our clients. I maintained various reports that were reviewed and submitted to builder clients and management for tracking and performance measurement purposes of the various real estate financing projects in process.

PROFESSIONAL EXPERIENCE Continued

City National Investments, Ass. Vice President / Senior Trust Officer

2000 - 2002

As a Pension Administrator for City National, my responsibility was to serve as the main point of contact to forty pension plan clients. As trustee, record keeper, and investment consultant, the role of City National was quite diverse in the effort to maintain the plan relationships. Managed and analyzed the investment funds, equities, and unique assets in these pension plans. Was able to gain vast knowledge in the legal area of ERISA and the labor law codes. Worked very closely with the legal staff and the investment analytics team. Reviewed and advised clients on their investment policies for the plans and confirmed that the various investments followed their plan documents. Monitored the trading of the various investments and assured accuracy of the trades. My team and I worked to reconcile the cash daily. Gave investment knowledge to the pension clients and high net worth individuals within the various plans. Delegated pension administration work to operations staff. Work with securities brokers who served as traders on pension accounts. In a consultant role to the clients, advised them on their plan setup and kept them abreast of the changes in legislation affecting their plan and their retirement. Was able to conduct fund, security and bond analysis per client's request analyzing risk, return, financial stability, and longevity. Served as liaison to municipal treasurers and managed their assets. Worked to trade and settle their fixed income trades.

Dai-ichi Kangyo Bank of California / Mizuho Financial, Ass. Vice President / Senior Trust Officer

1997 - 2000

Managed institutional and pension relationships consistently resolving financial, operational, and legal issues to client satisfaction. Analyzed the investment asset allocation strategies considering current economic outlook and investment policy constraints, providing recommendation for committee approval if appropriate. Responsible for maintaining and developing business relationships with governmental entities relating to the bank's capacity as Trustee, Fiscal Agent, Paying Agent, Custodian, or other fiduciary responsibilities. Responsible for the purchase and settlement of all trades for custodian accounts and employee benefit trust accounts. Responsible for the cash management of the pension funds. Served as sales and marketing representative for the various alliance retirement programs that the bank maintained with institutions such as Putnam Investments, Federated and Fidelity Investments. As the officer in charge of the investment analysis committee, I assured abidance to the various investment policies, asset allocation guidelines, and was able to analyze the various risk and returns associated with the specific investments used to compile the various portfolios. Served as the officer in charge of maintaining and investing the bank's own institutional custodial account of over \$500 million in assets.

Bancomer International Bank, Compliance Officer

1996 – 1997

Responsible for assuring regulatory and operational compliance in all departments of the Mexican bank. Worked on special projects such as the quality of capital investments and the revamping of the institution's investments. Managed an annual budget for the compliance/special projects department. Managed and led compliance projects in areas of regulations, operations, and potential new business ventures. Trained all United States employees in areas of compliance, operations, and internal controls. Updated and maintained the institution's operational policies and procedures.

California State Banking Department, Bank Examiner

1995 – 1996

Examined financial institutions in all areas of operations. Conducted analysis of financial reporting in accordance with accounting standards, reviewed internal processes and controls. Analyzed securities portfolios, loan procedures and customer account activity. Trained in areas of financial statement analysis, accounting, electronic data processing, and bank operations.

Interfirst Capital Corporation, Financial Advisor

01/1997 – 07/2001 (ON CONTRACT)

Served as a financial advisor and consultant to clients with Interfirst Capital Corporation brokerage firm. Advised clients on their long-term and short-term investment strategies. Educated clients on portfolio asset allocation and their financial planning needs. Created scenario models and analyzed client's risk tolerance. Researched securities and offered investment solutions to customer satisfaction.

Professional Affiliations

National Association of College and University Business Officers (NACUBO), member
Government Finance Officers Association (GFOA), member

Volunteer

Board member and Chair of the Board, Parent Institute for Quality Education (PIQE) 2023 – present
Elected Treasurer, Sacramento City Unified School District, Community Advisory Committee for Special Education 2018 - 2022

References Available Upon Request